#### PATENT COOPERATION TREATY

From the

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To: STEVEN W. SMYRSKI SMYRSKI LAW GROUP, A P.C. 3310 AIRPORT AVENUE, SW SANTA MONICA, CA 90405

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Rule 71.1)

Date of mailing

(day/month/year) Applicant's or agent's file reference **IMPORTANT NOTIFICATION** 66422-7006 International filing date (day/month/year) International application No. Priority date (day/month/year) PCT/US05/33358 16 September 2005 (16.09.2005) Applicant STEROGRAPHICS CORPORATION

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

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For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed invention is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

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Form PCT/IPEA/416 (January 2004)

4/6/09

### PATENT COOPERATION TREATY

## **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference		FOR FURTHER AC	TION	See Form PCT/IPEA/416			
66422-7006							
International appl	ication No.	International filing date (a	(day/month/year) Priority date (day/month/year)				
PCT/US05/33358 International Patent Classification (IPC) or		16 September 2005 (16.09.2005)					
ł	•		d IPC				
USPC: 345/589	5/ <b>02</b> ( 2006.01); <b>G02B 27</b> 0;359/462	// <b>22</b> ( 2006.01)					
Applicant	Applicant STEROGRAPHICS CORPORATION						
	<u> </u>						
Exam	ining Authority under	r Article 35 and transmitt	ted to the applicant ac				
2. This I	REPORT consists of	a total of 🌠 sheets, incl	uding this cover sheet	•			
3. This r	eport is also accompa	anied by ANNEXES, cor	nprising:				
a. [	sent to the applicat	nt and to the Internation	al Bureau) a total of _	sheets, as follows:			
	this report an		ectifications authorize	ve been amended and are the basis of ed by this Authority (see Rule 70.16			
	that goes bey			ority considers contain an amendment tion as filed, as indicated in item 4 of			
b. [_	, containin	g a sequence listing as Supplemental Box Re	nd/or tables related	and number of electronic carrier(s)) thereto, in electronic form only, as Listing (see Section 802 of the			
4. This r	eport contains indica	tions relating to the follo	wing items:				
	Box No. I Ba	sis of the report					
	Box No. II Pr	iority					
		on-establishment of opini plicability	ion with regard to nov	elty, inventive step and industrial			
$\boxtimes$	Box No. IV La	ck of unity of invention					
				regard to novelty, inventive step or s supporting such statement			
$\boxtimes$	Box No. VI Ce	rtain documents cited					
Box No. VII Certain defects in the international application			national application				
Box No. VIII Certain observations on the			ne international application				
Date of submissi	Date of submission of the demand			of this report			
27 July 2007 (27.07.2007)			25 February 2009 (25.	92.2009)			
Name and mailing address of the IPEA/ US			Authorized officer	We Day Ben			
•	Mail Stop PCT, Attn: IPEA/US Commissioner for Patents			ylin Deir Jun			
P.O. Box 1450 Alexandria, Virginia 22313-1450			/Kimbinh T. Nguyen/	<b>,</b>			
Facsimile No. (57)	1) 273-3201		Telephone No. (571)	272-7644			
orm PCT/IPFA/409 (cover sheetYApril 2007)							

International	application No.	

PCT/US05/33358

Box No. I Basis of the report
1. With regard to the language, this report is based on:
the international application in the language in which it was filed.
a translation of the international application into <u>English</u> , which is the language of a translation furnished for the purposes of:
international search (under Rules 12.3(a) and 23.1(b))
publication of the international application (under Rule 12.4(a))
international preliminary examination (under Rules 55.2(a) and/or 55.3(a))
2. With regard to the <b>elements</b> of the international application, this report is based on (replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):
the international application as originally filed/furnished
the description:
pages 1-17 as originally filed/furnished
pages* received by this Authority on
pages* received by this Authority on
the claims:
pages 18-20 as originally filed/furnished
pages* as amended (together with any statement) under Article 19 pages* 19 received by this Authority on 07/11/2007
pages* received by this Authority on
the drawings:
pages 1-7 as originally filed/furnished pages* received by this Authority on
pages* received by this Authority on
a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.
3. The amendments have resulted in the cancellation of:
the description, pages
the claims, Nos.
the drawings, sheets/figs
the sequence listing (specify):
any table(s) related to the sequence listing (specify):
4. This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
the description, pages
the claims, Nos.
the drawings, sheets/figs
the sequence listing (specify):
any table(s) related to the sequence listing (specify):
5. This report has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 70.2(e)).
* If item 4 applies, some or all of those sheets may be marked "superseded."  Form PCT/IPEA/409 (Box No. I) (April 2007)

International application No.	
PCT/US05/33358	

Box N	lo. IV	Lack of unity of invention
1.	] In res	ponse to the invitation to restrict or pay additional fees the applicant has, within the applicable time limit:
		restricted the claims.
		paid additional fees.
		paid additional fees under protest, and, where applicable, the protest fee
		paid additional fees under protest but the applicable protest fee was not paid
		neither restricted the claims nor paid additional fees
2.	This A 68.1,	Authority found that the requirement of unity of invention is not complied with and chose, according to Rule not to invite the applicant to restrict or pay additional fees.
3. Thi	is Author	rity considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is:
$\boxtimes$	71	lied with.
	not co	implied with for the following reasons:
4. Cons	sequentl	y, this report has been established in respect of the following parts of the international application:
$\square$	all pa	arts
	- i	arts relating to claims Nos
	пер	and relating to claims 1403.

Form PCT/IPEA/409 (Box No. IV) (April 2007)

International application No. PCT/US05/33358

Box No. V	Reasoned statement under Artic applicability; citations and expla	le 35(2) wit mations sup	h regard to novelty, inventive step or industrial opporting such statement	0.10.412.
1. Statement				
No	ovelty (N)	Claims	NONE	YES
			1-6,8 and 10-14	NO
In	ventive Step (IS)	Claims	NONE	YES
			7	NO
Ind	dustrial Applicability (IA)	Claime	1-8 and 10-14	YES
711.	austrial repplicationity (171)		NONE	
2. Citations a Please See Con	and Explanations (Rule 70.7) Itinuation Sheet			

Form PCT/IPEA/409 (Box No. V) (April 2007)

International application No.

			PCT/US05/3335	8
Box No. VI Cer	rtain documents cited			
1. Certain publishe	d documents (Rule 70.10)			
Application No.  Patent No.			ng Date conth/year)	Priority date (valid claim) (day/month/year)
2. Non-written disc	losures (Rule 70.9)			
Kind of non-wr	itten disclosure	Date of non-written disclosu (day/month/year)	re refer	Date of written disclosure ring to non-written disclosure (day/month/year)

Form PCT/IPEA/409 (Box No. VI) (April 2007)

International application No. PCT/US05/33358

Supplemental Day
 Supplemental Box
In case the space in any of the preceding boxes is not sufficient.
Continuation of:
V. 2. Citations and Explanations:
1) Claims 1-6, 8, 10-14 lack novelty under PCT Article 33(2) as being anticipated by Swift et al. U.S. Pub. No. 2002/0122585.  Claim 1, Divelbiss et al. discloses a method for converting an input image having a first format to an output image having a second format, wherein the input image and the output image are each defined by a plurality of pixels (abstract), comprising: receiving the input image (receiving only field-dequential 3D video from a composite video or S-Video source in both NTSC or PAL formats; paragraphs 0007, 0012, 0077); converting each pixel of the input image (color pixels RGB, YUV) to a corresponding pixel for an output image ( converting that video to a single 3D format for computer VGA video on the output; paragraph 0007) in accord with a map setting forth a predefined relationship between the first format and the second format, thereby creating the output image (paragraphs 0039-0047); formatting the output image (paragraphs 0048-0053); and displaying the formatted output image (and display of stereoscopic image pairs, paragraph 0004).

Claim 2, Divelbiss et al. discloses the converting step includes creating the map as a matrix that sets forth predefined relationships between one type of format as an input image and another type of format as an output image (paragraphs 0049-0052, 0054).

Claim 3, Divelbiss et al. discloses converting the color space of the input image (RGB, YUV; paragraphs 0035, 0039-0041); scaling the input image (paragraphs 0050, 0056, 0059, 0060); creating additional views as needed (paragraphs 0029, 0064); swapping views (paragraphs 0065, 0085); preparing a presentation of the output image for a particular format type (paragraphs 0081-0084); centering the presentation (paragraph 0061); formatting the presentation thereby creating a formatted output image (paragraphs 0048-0053); and displaying the formatted output image (paragraph 0004).

Claim 4, Divelbiss et al. discloses inverting the input image after the scaling step and before the creating step (paragraph 0043). Claim 5, Divelbiss et al. discloses aligning the views after the creating step and before the swapping step (paragraphs 0061, 0062).

Claim 6, Divelbiss et al. discloses arranging a predefined view wherein a single frame contains nine views (a single image frame), then interzigging the views (row-interleaved and column-interleaved), after the swapping step and before the preparing step (paragraphs 0081-0084).

International application No. PCT/US05/33358

#### Supplemental Box

Claim 8, Divelbiss et al. teaches scaling the planar image by a fixed percentage to create a scaled image (paragraphs 0059, 0060, 0073); copying the scaled image to create a complimentary image (restoring; paragraphs 0073-0075); shifting the complimentary image by a smaller percentage of the fixed percentage (paragraphs 0061, 0062); extracting a centered image from the scaled image (paragraph 0061); and extracting a centered image from the shifted complimentary image (paragraphs 0061, 0062).

Claim 10, Divelbiss et al. teaches the smaller percentage is half (paragraphs 0083, 0084).

Claim 11, Divelbiss et al. teaches scaling the planar image by a fixed percentage to create a scaled image (paragraphs 0056, 0059, 0060, 0073); copying the scaled image to create a complimentary image (paragraphs 0073-0075); skewing (shifting) the complimentary image by a smaller percentage of the fixed percentage (paragraphs 0061, 0062); extracting a centered image from the scaled image (paragraph 0061); and extracting a centered image from the shifted complimentary image (paragraphs 0061, 0062).

Claim 12, Divelbiss et al. discloses the smaller percentage is half (paragraphs 0083, 0084).

Claim 13, Divelbiss et al. teaches a device for converting an input image having a first format to an output image having a second format (3D data format coverter; paragraph 0027; fig. 9), wherein the input image and the output image are each defined by a plurality of pixels, comprising a software-enabled matrix that sets forth predefined relationships between one type of format as an input image and another type of format as an output image 9computer control interface; paragrapg 0038), and a processor configured to identify the first format of the input image and convert it using the matrix to an output image having the second format (processor system 104; paragraph 0039).

Claim 14, Divelbiss et al. teaches the matrix contains for each type of image format a pre-defined correspondence between a pixel from the input image and a pixel for the output image (paragraphs 0049-0052, 0054, 0064).

2) Claim 7 lacks an inventive step under PCT article 33(3) as being obvious over Divelbiss et al. 2004/0218269 in view of Swift et al. 2002/0122585.

Claim 7, Divelbiss does not teach the input image is a planar image; Swift et al. teaches creating a stereo image pair from the planar image (converting a 2D object movie to a 3D stereoscopic object movie; paragraph 0030). It would have been obvious to one of ordiary skill in the art at the time the invetion was made to incorporate the input 2D planar image into the method and system of Develbiss for converting 3D stereoscopic planar image, because image interpolation is used for converting 2D imaga planar into a 3D imaga planar, it would provide better quality display output (paragraph 0030).

- 5. The method of claim 3, further comprising aligning the views after the creating step and before the swapping step.
- 6. The method of claim 3, further comprising arranging a predefined view wherein a single frame contains nine views, then interzigging the views, after the swapping step and before the preparing step.
- 7. The method of claim 1, wherein the input image is a planar image, further comprising creating a stereo image pair from the planar image.
  - 8. The method of claim 7, wherein the creating step comprises: scaling the planar image by a fixed percentage to create a scaled image; copying the scaled image to create a complimentary image; shifting the complimentary image by a smaller percentage of the fixed percentage; extracting a centered image from the scaled image; and extracting a centered image from the shifted complimentary image.
  - 9. [Omitted]
  - 10. The method of claim 8, wherein the smaller percentage is half.
- 11. The method of claim 7, wherein the creating step comprises: scaling the planar image by a fixed percentage to create a scaled image; copying the scaled image to create a complimentary image; skewing the complimentary image by a smaller percentage of the fixed percentage;

extracting a centered image from the scaled image; and extracting a centered image from the shifted complimentary image.

12. The method of claim 11, wherein the smaller percentage is half.

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REPLACEMENT SHEET

#### PATENT COOPERATION TREATY

From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: STEVEN W. SMYRSKI SMYRSKI LAW GROUP, A P.C. 3310 AIRPORT AVENUE, SW SANTA MONICA, CA 90405

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Applicant's or agent's file reference				
66422-7006		IMPORTANT NOTIFICATION		
International application No.	International filing date (da	ty/month/year)	Priority date (day/month/year)	
PCT/US05/33358	16 September 2005 (16.09)	2005)		
Applicant				
STEROGRAPHICS CORPORATION	N			

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